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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 20

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|                      |                    |
|----------------------|--------------------|
| Application Number   | 10/678720          |
| Filing Date          | October 03, 2003   |
| First Named Inventor | Robert C. Lam      |
| Art Unit             | 1794               |
| Examiner Name        | Jennifer A. Steele |

Attorney Docket Number DKT0076 (0309.4085.901)

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
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|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |
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| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |
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|                        |                         |
|------------------------|-------------------------|
| Application Number     | 10/678720               |
| Filing Date            | October 03, 2003        |
| First Named Inventor   | Robert C. Lam           |
| Art Unit               | 1794                    |
| Examiner Name          | Jennifer A. Steele      |
| Attorney Docket Number | DKT0076 (0309.4085.901) |

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|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
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| First Named Inventor   | Robert C. Lam           |
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| Examiner Name          | Jennifer A. Steele      |
| Attorney Docket Number | DKT0076 (0309.4085.901) |

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Sheet 9 of 20

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| Filing Date            | October 03, 2003        |
| First Named Inventor   | Robert C. Lam           |
| Art Unit               | 1794                    |
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| Application Number   | 10/678720          |
| Filing Date          | October 03, 2003   |
| First Named Inventor | Robert C. Lam      |
| Art Unit             | 1794               |
| Examiner Name        | Jennifer A. Steele |

Attorney Docket Number DKT0076 (0309.4085.901)

**U. S. PATENT DOCUMENTS**

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| Application Number     | 10/678720               |
| Filing Date            | October 03, 2003        |
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| Art Unit               | 1794                    |
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| Filing Date          | October 03, 2003   |
| First Named Inventor | Robert C. Lam      |
| Art Unit             | 1794               |
| Examiner Name        | Jennifer A. Steele |

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Attorney Docket Number DKT0076 (0309.4085.901)

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Sheet 15 of 20

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|----------------------|--------------------|
| Application Number   | 10/678720          |
| Filing Date          | October 03, 2003   |
| First Named Inventor | Robert C. Lam      |
| Art Unit             | 1794               |
| Examiner Name        | Jennifer A. Steele |

Attorney Docket Number DKT0076 (0309.4085.901)

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |
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|  |    |    | <b>Examiner Name</b>        | Jennifer A. Steele     |                         |
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|  |  | Art Unit             | 1794               |    |    |
|  |  | Examiner Name        | Jennifer A. Steele |    |    |
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|                                 |                       | USSN 10/678,599, HIGH PERFORMANCE, DURABLE, DEPOSIT FRICTION MATERIAL, Robert C. Lam, Filed 10-03-2003  |  |                |
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|                                 |                       | USSN 12/157,901, POROUS FRICTION MATERIAL COMPRISING NANOPARTICLES OF FRICTION MODIFYING MATERIAL, Robert C. Lam, Filed 06-12-2008  |  |                |

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